



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP-2761  
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11/2/99  
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In re application of: **Rodriguez et al.**

Serial No.: 09/329,461

Filed: **June 10, 1999**

For: **Method and Apparatus For  
Encoding Transactions For Goods and  
Services Using An E-Receipt**

§ Group Art Unit: 2761

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **AT9-99-085**

§

SEP 09 1999  
GROUP 2700

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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Assistant Commissioner of Patents, Washington, D.C. 20231 on

By: 

Jennifer Wright

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

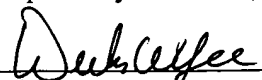
Sir:

ENCLOSED HERewith:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AO; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392.

Respectfully submitted,

  
Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Rodriguez et al.**

Serial No.: **09/329,461**

Filed: **June 10, 1999**

For: **METHOD AND APPARATUS  
FOR ENCODING TRANSACTIONS  
FOR GOODS AND SERVICES  
USING AN E-RECEIPT**

§ Group Art Unit: **2761**

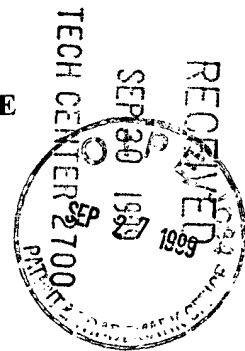
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§ Examiner: **Unknown**

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§ Attorney Docket No.: **AT9-99-085**

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By: \_\_\_\_\_

Jennifer Wright

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Transmittal letter;
- Letter to Official Draftsman; and
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No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392. No extension of time is believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

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ATTORNEY FOR APPLICANT

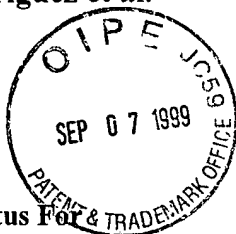
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By:   
Jennifer Wright

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

No fee is believed to be necessary. If however a fee is required, please charge this fee to Deposit Account 50-0392. No extension of time is believed to be necessary. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

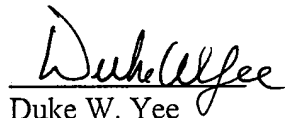
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be,

material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

Respectfully submitted,

Date: 9/1/99



Duke W. Yee  
Reg. No. 34,285  
Carstens, Yee & Cahoon, LLP  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001

Attorney for Applicant